

法商東方匯理銀行在臺分行資訊安全整體執行情形聲明書

Credit Agricole-Corporate and Investment Bank Taipei Branch
Statement of Information Security

謹代表法商東方匯理銀行在臺台分行聲明本銀行於 109 年 1 月 1 日至 109 年 12 月 31 日確實遵循「金融控股公司及銀行業內部控制及稽核制度實施辦法」第三十八條第五款、第三十八條之一以及「證券暨期貨市場各服務事業建立內部控制制度處理準則」第十條第十款、第三十六條之二規定及同業公會所訂資訊安全自律規範。經審慎評估，本年度資訊安全整體執行情形，均能確實有效執行。

On behalf of Crédit Agricole – Corporate and Investment Bank Taipei branch, we hereby certify that from 1 January 2020 to 31 December 2020, the Bank has duly complied with the Paragraph 5, Article 38 and Article 38-1 of "Implementation Rules of Internal Audit and Internal Control System of Financial Holding Companies and Banking Industries", Paragraph 10, Article 10 and Article 36-2 of "Regulations Governing the Establishment of Internal Control Systems by Service Enterprises in Securities and Futures Markets", and the disciplinary rules governing information security set by the business associations. Following prudent evaluation, it is found that the Bank has implemented effective controls for information security during the year to which this statement relates.

謹致

金融監督管理委員會


The statement is submitted to Financial Supervisory Commission

聲明人

Statement by

在臺訴訟及非訴訟代理人：白志堅

Representative of litigious and non-litigious matters in Taiwan / CEO



(簽章)

臺灣區稽核業務主管：林綜麗

Auditor in charge of auditing on Taiwan Branch



(簽章)

臺灣區資安專責單位主管：周明怡

Officer in charge of information security on Taiwan Branch



(簽章)

中 華 民 國 110 年 3 月 25 日

資訊安全整體執行情形應加強事項及改善計畫
Enhancement Items and Improvement Plan for Information Security
(基準日：109年12月31日)

應加強事項 (Finding Facts & Recommendations)	改善措施 (Auditee's Responses)	預定完成改善時間 (Target Date)
無	無	無